Engaging Medical Students in Peer Professional Development: Establishing Protocol and Procedures for Professionalism Lapses

Introduction

Professionalism is a <u>core competency</u> for medical trainees across the spectrum of undergraduate postgraduate medical education. and Professionalism is defined variably by many different sources, but in general are behaviors that define a profession. A survey of medical professional organizations demonstrates that definitions are extensive and varied. Most agree there are aspects which are immutable over time (empathy, honesty, confidentiality) and others evolving (appropriate dress, which are appropriate communication). Professionalism encompasses both behaviors and attitudes though behaviors are the only observable manifestations. Attitudes obviously inform behaviors; behaviors can conversely inform attitudes.

Guiding Principles

Wasserman et al divide professionalism lapses in trainees into 3 categories:

- No-fault suboptimality: a lapse typically brought on by systemic factors but which could have been avoided if the individual had been more proactive or more aware
- unprofessionalism: Nonegregious unprofessionalism behavior that was engaged in knowingly but with a reasonable assumption that the harm would be minor and insignificant
- Egregious professionalism: unprofessionalism behavior that was engaged in knowingly with no reasonable assumption that the harm would be minor or insignificant

Wasserman goes on to say that if one can categorize the lapse into one of these three categories, then the appropriate response can be designed. No fault suboptimality should be met with support, advising and coaching. Nonegregious unprofessionalism should be remediated, and egregious unprofessionalism should be disciplined. Jha et al proposed a framework for evaluating professionalism lapses using the Theory of Planned Behavior (TPB) which demonstrates the relationship between Attitude (beliefs), Subjective Norm (normative beliefs and motivation to comply) and Perceived behavior control (perceived power and control beliefs) along with Behavior Intention and how they produce a specific behavior. Decisions about remediation, consequences, etc should be made taking these into consideration.

Alice Chuang, MD, Med, Assistant Dean for Student Affairs, UNC School of Medicine Executive Leadership in Academic Medicine, Class of 2021





Workflow

Each incident of student unprofessionalism should be evaluated to decide appropriate next steps to promote the individual's professionalism education and to promote professional culture in the community. A committee of 5 members will meet to assess the situation. This committee should be composed of student and faculty members to ensure experienced and fresh perspectives are represented. Student names should NOT be shared for a fair and unbiased review.

EVERYONE: Promoting a professional culture Clear communication around professionalism

STUDENT AFFAIRS: Student support and coaching when needed

CURRICULUM: Structured co-curriculum with opportunity for small group discussion

PROFESSIONALISM COMMITTEE: Incident management Consistently applied remediation pathway Appropriate consequences

Timeline 2020-2021

Jul-Dec: Literature review, network with other Student Affairs Deans at UNC and outside of UNC, brainstorm ideas with stakeholders

Jan-Feb: Obtain approval at Education Committee, **Feb:** Obtain feedback from legal department

Mar-Apr: out RFA for committee Chair, interview and select Chair

Apr-May: Send out RFA for student/faculty committee members, interview and select committee members

Jun-Jul: Convene committee to train on workflow and iron out details of reporting structure, incident management, remediation options

Jul-Aug: Announce to students at first class meetings of the year and orientation

Aug 15: LAUNCH!

References

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