

# SUPPLY STRAIN

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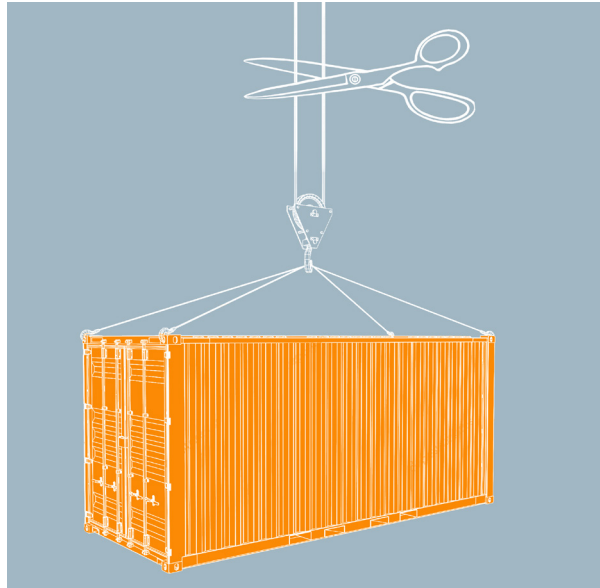
Managing Global  
Compliance Through  
Your Supply Chain



DREXEL UNIVERSITY  
**Thomas R. Kline**  
School of Law

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**COMPLIANCE WEEK**



## About Supply Strain:

Global business is fraught with opportunity and risk. Whether we are addressing a pandemic, a labor shortage, or a natural disaster, successful companies have supply chains that are flexible and resilient, as well as controlled, to ensure compliance with laws and regulations. Learn from legal and compliance experts how to navigate an ever-changing landscape. Compromises are inevitable, so long as we don't compromise compliance. With the backdrop of enforcement experiences and guidance from the DOJ, we will move forward with practical advice as to how to build a compliance program that is transparent and effective, and then drive that program through every aspect of your supply chain.

## Program

**7:45 -8:30 Registration and Breakfast**

**8:30 -8:45 Welcome Remarks: A Bird's Eye View of the Business of Trade Compliance: Why is Legal Expertise so Important and in such Demand?**

**Dean Daniel Filler**, Drexel University Thomas R. Kline School of Law

**8:45 -10:00 Managing Compliance Programs Globally Panel:**

**Michael Reilly**, General Counsel, FMC

**Zane David Memeger**, Partner, Morgan Lewis

- Understanding the landscape
- Biggest challenge to compliance
- Exposing risks that lurk in supply chains
- Is outsourcing the best option?
- Best Practices: Managing third parties

**10:00 - 10:15 Break**

**10:15 - 11:15 From Policy Through Investigations: Anti-Corruption/Anti Bribery**

**Carrie Watt**, Compliance Executive and Attorney

**Michelle Morgan**, Chief of Corruption & Civil Rights Section, Assistant United States Attorney at the U.S. Attorney's Office for the Eastern District of Pennsylvania

**Cuneyt Akay**, Shareholder, Greenberg Traurig

**Emilia McKee Vassallo**, Partner, Ballard Spahr

- Corruption and bribery risks in supply chains (enforcement examples and lessons learned)
- What are the DOJ expectations of an effective corporate compliance program?
- Designing a compliance program to mitigate corruption risks
- Best Practices: FCPA compliance program essentials . . . and extras
- Managing Investigations
- Avoiding issues domestically
- Third-party (agent and reseller) compliance best practices
- The spectre of no-fault liability

**11:15 - 12:00 Economic Sanctions: Keeping up with the Changes**

**Roberto Gonzalez**, Partner, Paul Weiss

- Update on Russia sanctions
- The pillars of a sanctions compliance program
- Enforcement trends: where are we seeing the risks and liability

12:00 – 12:15 Break

12:15 - 1:15 Lunch

**Fireside Chat: Rules are Good; Values are Better: Nothing is better than an Ethical Culture**

**Susan Frank Divers**, Director of Thought Leadership and Best Practices, LRN Corporation

**Catherine Muldoon**, General Counsel, Baldor Specialty Foods

Corporate culture is the way things really work in an organization. While corporate culture can be reflective of the core values of a company, this only occurs if the company prioritizes those values and drives them through the organization. Ty Francis will speak to how to really engage employees to ensure that all are accountable to the values of the organization. How do you build an effective compliance program? Step one: Create and maintain a culture that celebrates ethical behavior all the time.

1:15 -2:00

**An Ounce of Prevention is Worth a Pound of Cure: Using Data Analytics**

**Brendan Maggiore**, Senior Manager, Deloitte Transactions and Business Analytics LLP

**Shannon Davis**, Manager, Deloitte Tax LLP

Whether it's monitoring communications or financial transactions, the use of analytics and machine learning is critical to focusing compliance review efforts. We'll discuss case studies that demonstrate how analytics can enable enhanced risk detection and cost savings.

2:00 -2:15

Break

2:15 -3:00

**Focus on Supply Chains: Sustainability, Resilience, Trends and Predictions**

**Laura Rabinowitz**, Shareholder, Greenberg Traurig

**Brendan Collins**, Partner, Ballard Spahr.

**Jill Steinberg**, Partner, Ballard Spahr

Moderator: **Julie DiMauro**, Director of Content Strategy, Compliance Week

- Sourcing outside of China
- Best practices considering increased forced labor enforcement
- Duty mitigation strategies
- Strategic sourcing

## Speaker Profiles



**Michael F. Reilly** is Executive Vice-President, General Counsel, Chief Compliance Officer and Secretary of FMC Corporation, a global agricultural sciences company headquartered in Philadelphia. He joined FMC in 2002 as Group Counsel for the Agricultural Solutions business and then held a succession of roles in support of FMC's agriculture business. Prior to FMC, Mr. Reilly held in-house roles at Zeneca (now AstraZeneca) and Syngenta. Earlier in his career, Mr. Reilly specialized in litigation and environmental law.



**Zane David Memeger** is a partner at Morgan Lewis. He was US Attorney in the Eastern District, served on the Attorney General's Advisory Committee, and was co-chair of the Health Care Fraud Working Group. Zane worked on legal and policy issues related to government enforcement efforts. He oversaw the cases in virtually every sector of the healthcare industry. He also dedicated a portion of his practice on white collar criminal defense work and corporate investigations, with a strong concentration on healthcare fraud investigations involving criminal and civil allegations of fraud, kickbacks, and other misconduct.



**Carrie Watt** is Assistant General Counsel and Director of Compliance for Aramark Corporation. She provides legal and compliance support to Aramark's Healthcare+ business. Carrie previously held in-house counsel and compliance officer roles at Avantor, Inc. and Teleflex Incorporated. Prior to going in-house, Carrie worked in a law firm focusing on Government Enforcement and White Collar Crime.



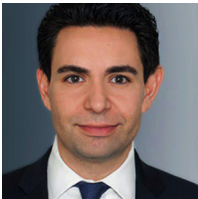
**Michelle L. Morgan** is the Chief of the Corruption and Civil Rights Section at the United States Attorney's Office for the Eastern District of Pennsylvania in Philadelphia. She has been a federal prosecutor for nearly 20 years, specializing in corruption, human trafficking, and child exploitation crimes. From 2018 to 2020, she served as the Deputy Chief of the Criminal Division and oversaw the Violent Crime, Narcotics and Organized Crime, and General Crimes Sections. She previously served the Department of Justice in the U.S. Attorney's Office for the Northern District of California and the Child Exploitation and Obscenity Section in Washington D.C.



**Cuneyt A. Akay** is a compliance, investigations, and anti-corruption lawyer focused on helping clients operate in an ethical and compliant manner even in countries where that seems nearly impossible to accomplish. He is a Shareholder in Greenberg Traurig's Denver office. Cuneyt has advised clients operating in Africa, Asia, Europe, the Middle East, and Latin America. Cuneyt hosts GT's ABC (Anti-Bribery & Corruption) Podcast discussing anti-bribery.



**Emilia McKee Vassallo** is an experienced litigator and legal counselor in white collar defense and complex commercial litigation. She has conducted internal investigations of high-profile, highly sensitive matters for major corporations, government agencies, and institutions, including investigations spurred by whistleblower complaints to federal and state agencies. She drafts comprehensive internal or public reports of investigative findings and recommendations for improving corporate, institutional, and governmental policies and procedures.



**Roberto J. Gonzalez** is a litigation partner in Paul Weiss's Washington, DC office and serves as the co-chair of the firm's sanctions and AML practice group. His practice also encompasses export controls, the ICTS regulation, and other national security matters. Roberto served as Deputy General Counsel of the U.S. Treasury Department, where he supervised areas of sanctions, AML, cybersecurity, and financial regulation. Previously, he was Principal Deputy General Counsel of the Consumer Financial Protection Bureau, and, before that, Associate White House Counsel and Special Assistant to President Obama.



**Susan Frank Divers** is an experienced ethics and compliance executive and Senior Advisor at LRN. Prior to joining LRN, served a senior advisor to the Ethisphere Institute, a leading international think tank dedicated to advancing best practices in ethics. Before that she was AECOM's Assistant General for Global Ethics & Compliance and Chief Ethics & Compliance Officer. Under her leadership, AECOM's ethics and compliance program garnered six external awards in recognition of its effectiveness and Mrs. Divers' thought leadership in the ethics field. Before joining AECOM, she worked at SAIC and Lockheed Martin in the international compliance area. She also was a member of the U.S. delegation to the UN working on the first anti-corruption multilateral treaty initiative.



**Catherine Muldoon** has over 20 years of experience as an in-house General Counsel/Chief Legal Officer, Catherine Muldoon has become a paragon of corporate governance, risk management, and ethical leadership. Catherine is the head of a full-service legal department wherein the range and global reach of her experience is broad. In her career, Catherine has built effective legal and compliance programs pursuant to economic sanctions, anti-bribery/anti-corruption, antitrust, and import and export regulations. Her employer took Ethisphere's coveted Most Ethical Company Award five years in a row and the 2020 American Chemistry Council's Responsible Care Partner of the Year. Catherine is presently an adjunct professor at the Drexel University Thomas R. Kline School of Law and a regular guest lecturer at Temple University Fox School of Business.



**Brendan Maggiore** is a senior manager in Deloitte's Greater Washington, D.C. area practice, who specializes in applying advanced fraud analytics. Brendan has extensive experience assisting global organizations and often their Outside Counsel with understanding risks related to sales practice misconduct, anti-money laundering consulting, and anti-bribery and anti-corruption. Brendan's research in the use of analytics has been published in the Wall Street Journal, The Washington Post, Bloomberg Businessweek, and Advertising Age, and he is a frequent lecturer at industry, client, and university conferences.



**Shannon Davis** is a Manager in Deloitte's Global Trade Advisory (GTA) practice, based in Washington D.C., who has more than twelve-year experience in global trade compliance, including business strategy and planning, compliance automation, policies and procedures, and regulatory guidance with expertise in U.S. EAR and ITAR regulations. Shannon spent three years abroad establishing trade compliance programs for business units operating in the Middle East, Singapore, and Europe.



**Laura Siegel Rabinowitz** is an International Trade shareholder in the New York office of global law firm Greenberg Traurig. She counsels domestic and multinational businesses on mitigation of duty exposure and compliance. Laura helps clients navigate the maze of regulations, customs, and other government agency scrutiny. Laura's advice to clients extends to audits, penalty defense, valuation, tariff classification, entry procedures, and antiterrorism initiatives. Prior to entering private practice, Laura served as an attorney with U.S. Customs' Office of the Assistant Chief Counsel, representing Customs in litigation in the Court of International Trade.



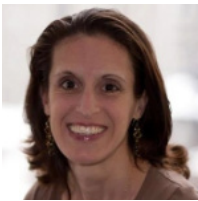
**Brendan K. Collins** is the leader of the Ballard Spahr's Manufacturing Group. He is an environmental lawyer and his clients are in the electric power sector and the oil and gas industry. He has litigated civil, criminal and administrative matters in the U.S. Supreme Court. He counsels clients on current regulations, prepares comments on proposed regulations and, where necessary, litigates regulatory challenges in the appellate courts. Outside the energy sector, his clients include manufacturers, real estate developers, hospitals and universities.



**Jill Steinberg** is a litigator with more than a decade of experience handling high-profile white collar and violent crime matters for the U.S. Department of Justice (DOJ). Prior she served in the DOJ's senior leadership. Jill's experience includes investigation and prosecution in a wide range of criminal violations, including complex fraud and corruption cases, which have led to the indictment of high-level public officials and city contractors.

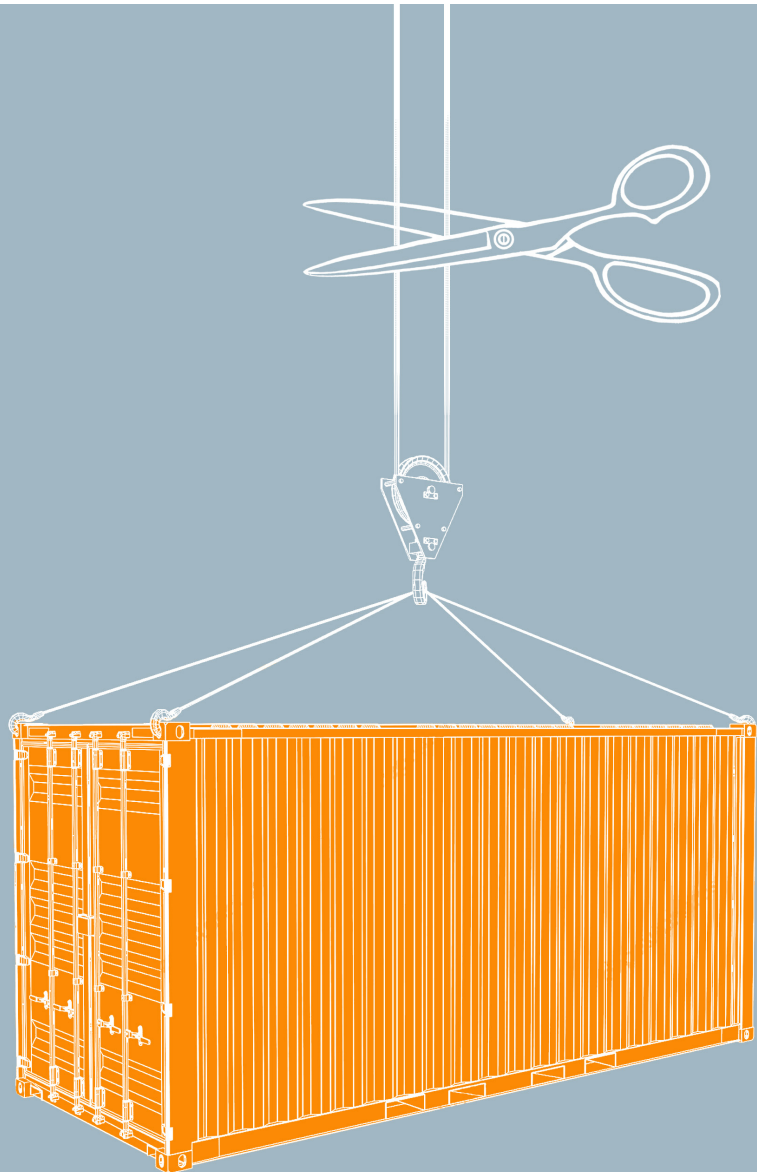


She also has extensive experience handling matters involving sexual abuse, child exploitation, and human trafficking. She oversaw the work of Department components, testified before Congressional committees, and served as the Department's representative on interagency policy committee meetings at the White House. Jill started her legal career at the Philadelphia District Attorney's Office. Ms. Steinberg served as an instructor for the DOJ at its national training center, the National Advocacy Center.



**Julie DiMauro** is the Director of Training and Data at Compliance Week. Previously, she served as a regulatory intelligence expert at Thomson Reuters and a compliance officer at Fidelity Investments. She is an adjunct professor at Seattle University Law School where she teaches financial crime and data privacy courses, and she is a co-chair of the Financial Women Association's corporate governance committee and a contributing editor for the FCPA Blog.





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