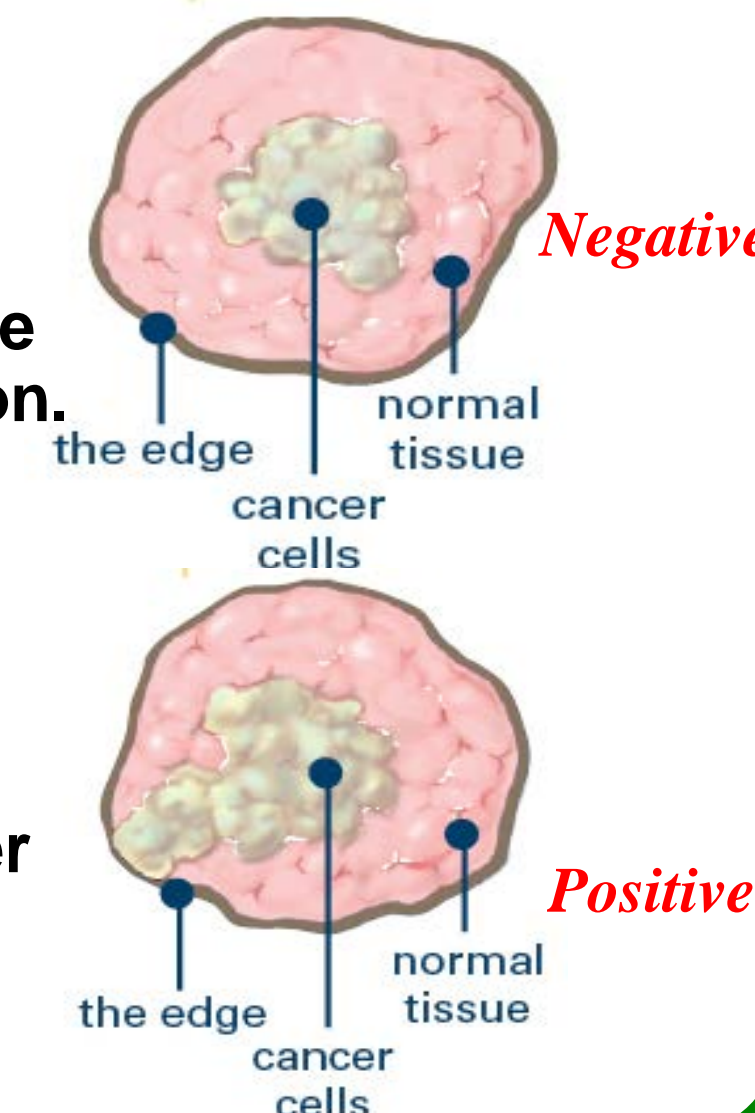


Assessing Breast Cancer Margin Using an Aqueous Quantum Dot (AQDs) Enabled Molecular Probe

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Motivation

- Breast cancer is one of the most common cancers in women, about 30% of all cancers in women. In 2012, there is an estimate of 226,870 new invasive breast cancer cases and 63,300 new ductal carcinomas *in situ* (DCIS) cases.
- Lumpectomy or breast-conserving surgery, in which only the tumor and surrounding tissue are removed, is the most common treatment for breast cancer today. Pathology examination is done following lumpectomy to ensure the margins are free of cancer cells.
- However, about 15-60% of lumpectomy surgeries require a second surgery or re-excision due to unclear margin.
- It would be desirable to have an imaging tool to help surgeons decide if the margin is clean during surgery to reduce re-excision rate, morbidity associated with incomplete resection.



Objective

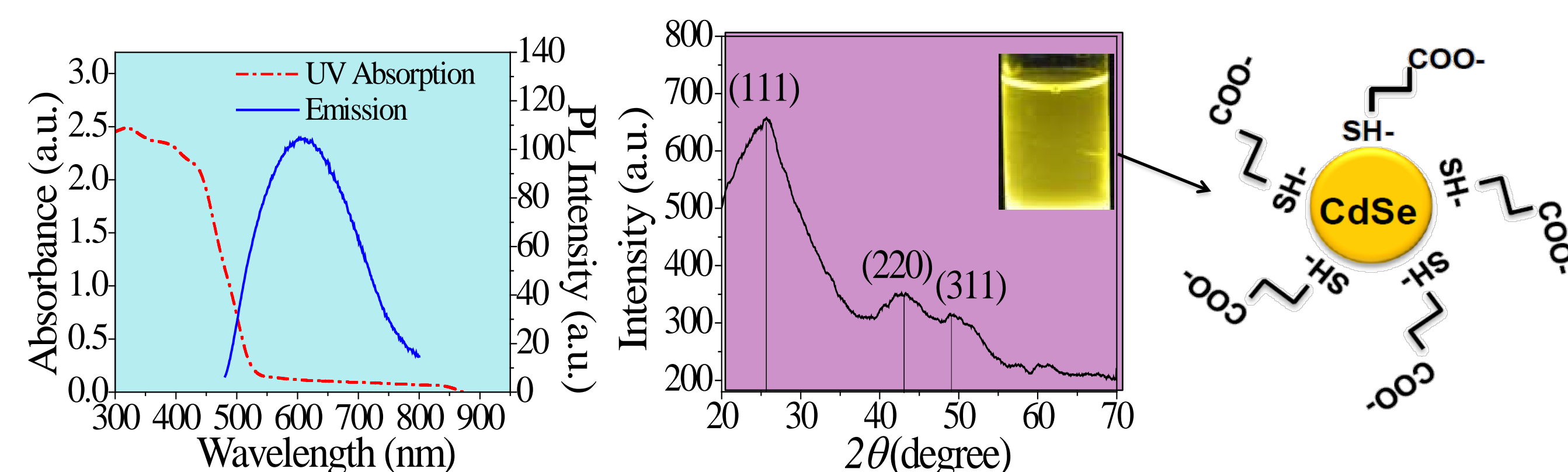
We investigated aqueous quantum dots (AQDs) conjugated with cancer markers antibodies to image cancer cells in excised tissues. The ultimate goal is to further develop this technology to help surgeons assess tumor margin during surgery.

Competitive Matrix

Technology	Entire surface	Molecular specificity	Effective with Heterogeneous Tissue	< 1 mm spots	No Need Pathologist
Touch-prep	Yes	No	Yes	No	No
Frozen section	No	No	Yes	No	No
Duke University ¹	Yes	No	No	No	Yes
MarginProbe ²	No	No	No	No	Yes
AQD Technology	Yes	Yes	Yes	Yes	Yes

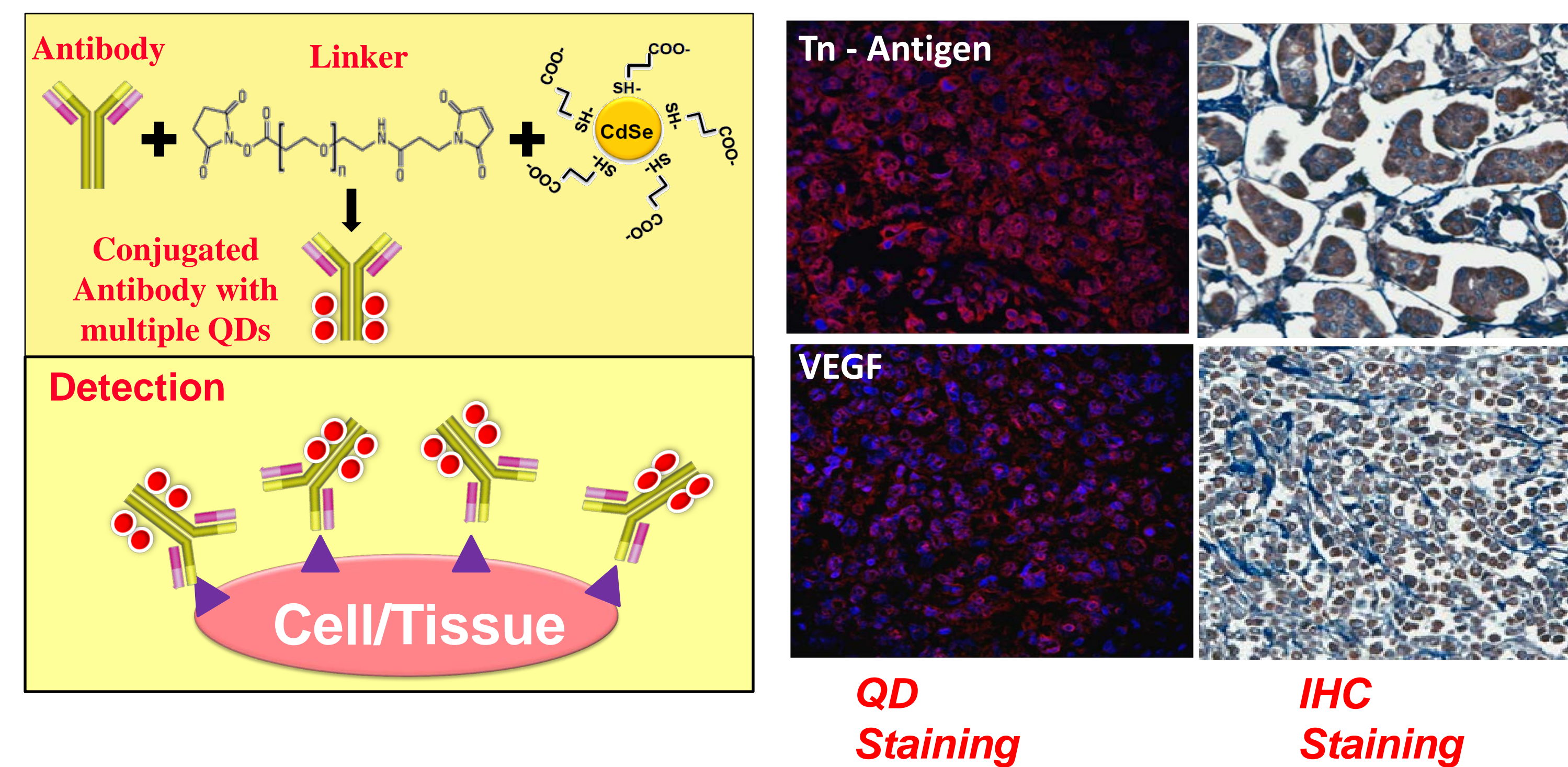
Aqueous Quantum Dots

- Quantum Dots are semiconducting nanocrystals that exhibit bright photoluminescence (PL) and better photo-stability than fluorescent molecules, ideal for *in vivo* imaging of diseased tissues or monitoring biological process.
- Current organic QDs often are expensive and their synthesis involves environmentally hazardous organic solvent tri-n-octylphosphine oxide (TOPO). Also, ligands exchange are required to make the QDs water soluble.



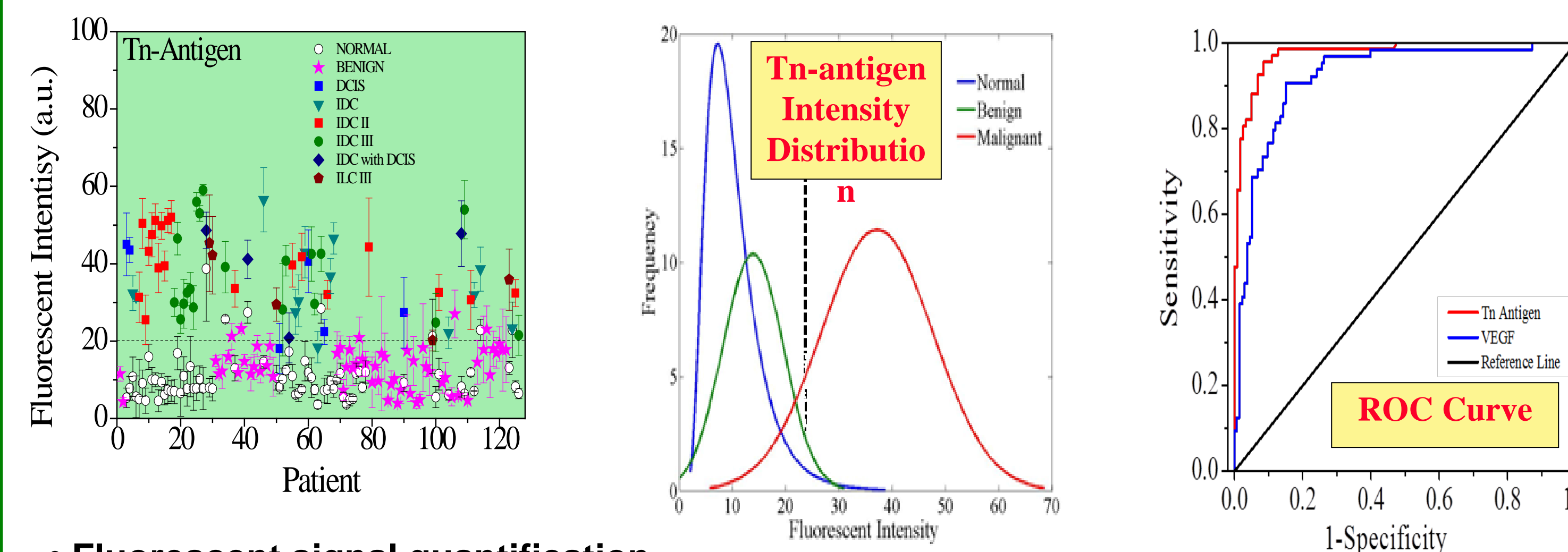
On the other hand, aqueous QDs have been synthesized using 3-mercaptopropionic acid (MPA) without TOPO in one single step. This synthesis route has produced highly luminescent QDs.

Breast Tissue Imaging



- AQDs were conjugated directly to antibodies (Ab) for cancer detection
- Aqueous QDs can be conjugated with antibodies effectively.
- Cost effective method : 1¢ for AQDs compared to \$1.20 for commercial QDs**
- Excised breast tissues were paraffin-embedded and cut in 5 µm sections
- Tn-antigen and Vascular Endothelial Growth Factor (VEGF) could be detected at single cancer cell level using QD-labeled antibodies with better sensitivity and specificity than immunohistochemical (IHC) staining.

94% Sensitivity and 92% Specificity for Cancer Detection

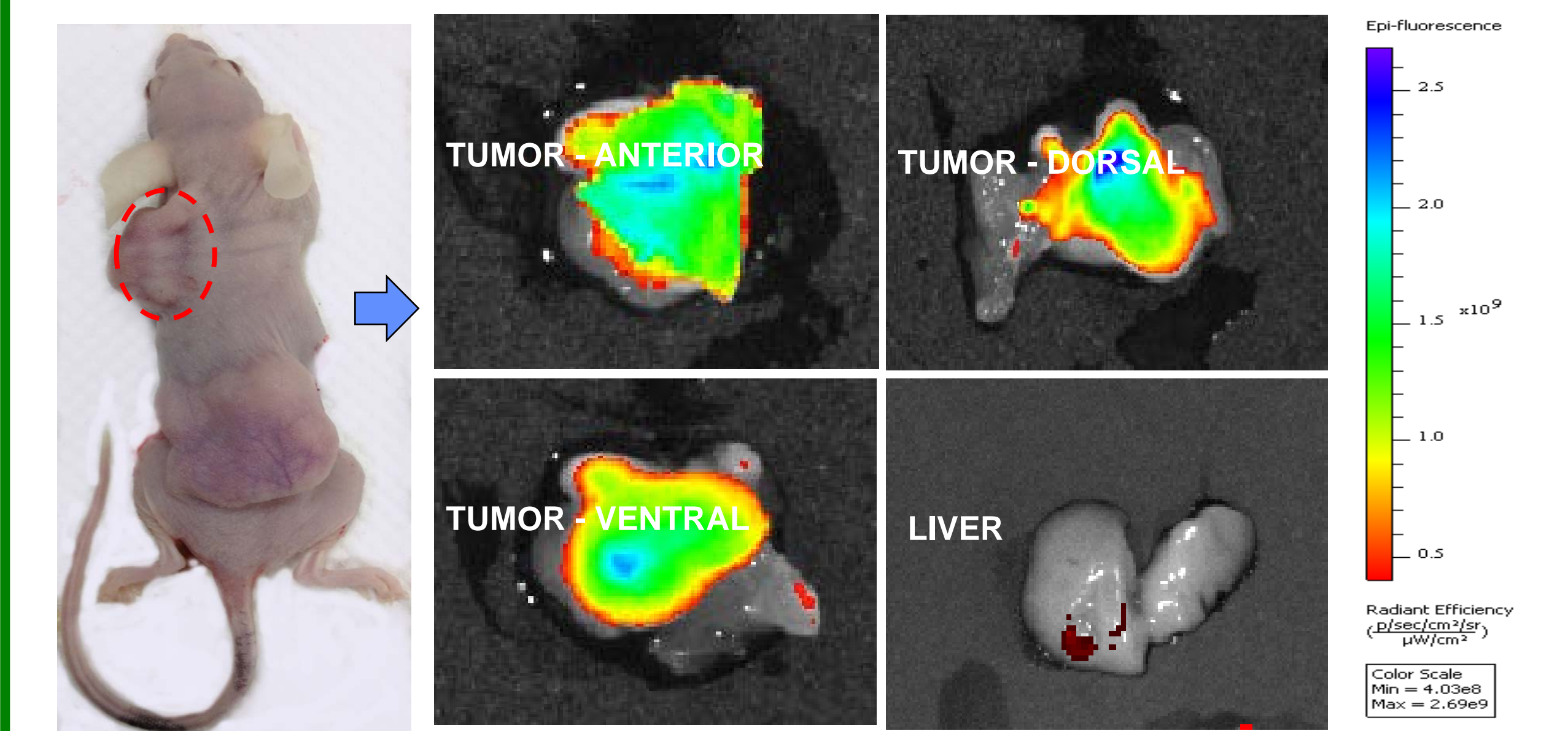


- Fluorescent signal quantification.
- Cut-off point is 20 pixel for non-cancerous cells.
- The dash line indicates the threshold intensity for a cell to be identified as a cancer cell for the statistics.
- A total of 483 paraffin-embedded tissue blocks from 126 patients were examined.
- Statistics of 126 cases for both QD conjugated antibodies and horseradish peroxidase (HRP) conjugated antibodies using VEGF and Tn-antigen as markers.

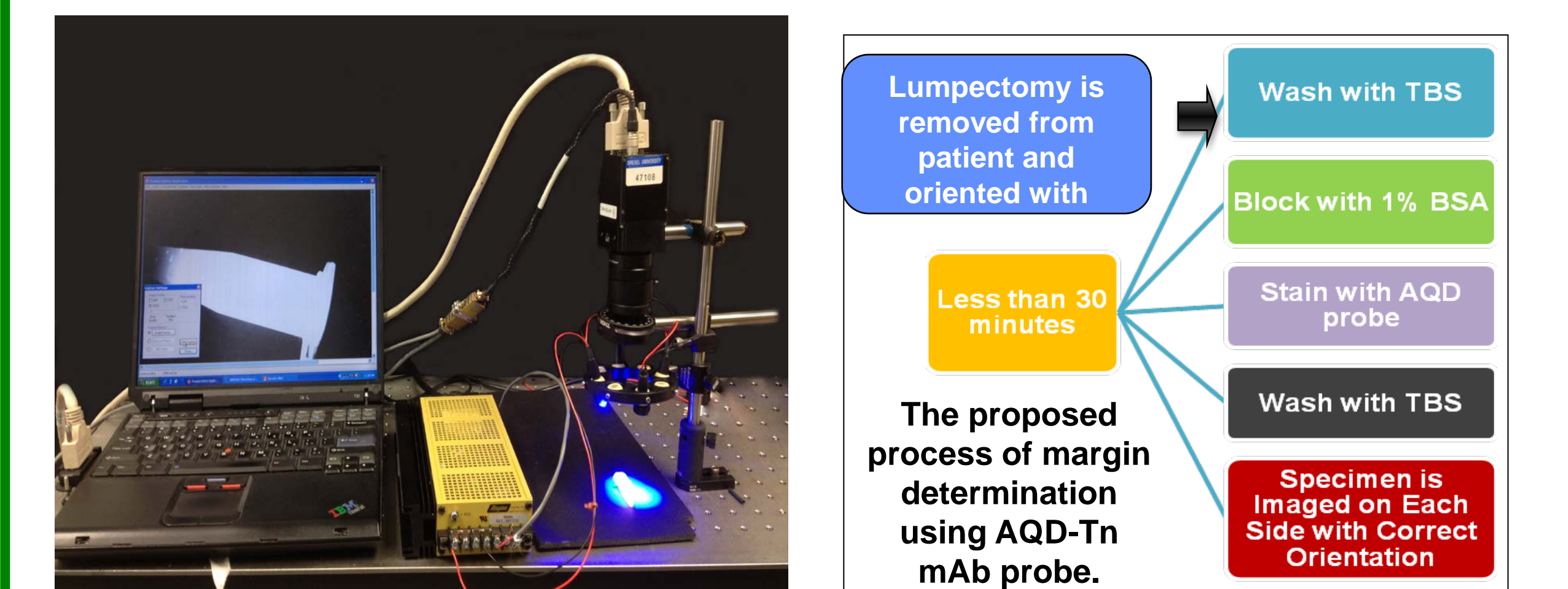
	VEGF-HRP	VEGF-QD	Tn-HRP	Tn-QD
Sensitivity	92%	94%	90%	94%
Specificity	71%	77%	86%	92%

- QD staining resulted in better sensitivity and specificity than IHC for both Tn-antigen and VEGF.
- Tn-antigen is a suitable marker for cancer detection

Cancer Imaging In Excised Tumor And Organ



- Nude mice were xenografted with HT29 colon cancer cells.
- Tumors were harvested and stained with AQDs for Tn-antigen expression.
- Different view of the tumors were imaged with dorsal and ventral side showing no staining for muscle tissue.
- Liver was used as a negative control.
- Total procedure time < 30 minutes, no interference with standard pathological examinations.**



- A preliminary set-up of the imaging system.
- A ring of LEDs shines on the specimens (a tube of AQD suspension) and the fluorescence of AQDs was recorded by a NIR CCD camera and shown on computer screen.

Summary

- AQDs can be conjugated with primary and secondary antibodies to image cancer cells at a single cell level with strong fluorescent signals.
- Tn-antigen is a good cancer marker with 94% sensitivity and 92% specificity for cancer detection using QD staining.
- Tn antigen was clearly imaged on human tumors harvested in mice
- Further inference study is needed to establish the protocol.

Acknowledgments

Research Funded by:
• DoD W81XWH-09-1-0701
Coulter-Drexel Translational Research Partnership
¹ School of Biomedical Engineering, Science and Health Systems, ² Department of Pathology and Laboratory Medicine, ³ Department of Surgery, ⁴ Department of Materials Science and Engineering